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EDUCATION, SCHOLARSHIPS AND HONORS

Yale University, New Haven, Connecticut, USA

Aug. 1995–Dec. 2001

Doctor of Philosophy (Ph.D.), Department of Forestry and Environmental Studies
Graduate School of Arts and Sciences

Dissertation title: “Searching for Shared Norms: Global Governance of Biosafety”

Dissertation committee: John P. Wargo, Susan Rose-Ackermann, James C. Scott

- Henry Hart Rice Fellowship, Yale Center for International & Area Studies (2000–2001)
- Pre-dissertation summer grant, Yale Center for International & Area Studies (1997)
- Coca-Cola summer research grant, Yale Center for International & Area Studies (1996)
- G. Evelyn Hutchinson Fellowship, Yale University Institute of Biospheric Studies (1996)
- Graduate School of Arts and Sciences Scholarship, Yale University (1995–1999)

University of Chicago, Chicago, Illinois, USA

Sept. 1991–July 1994

Ph.D. candidate in Political Science (1993-1994)

Master of Arts in Political Science (1993)

- Mellon First-Year Dissertation Fellowship, University of Chicago (1994, declined)
- Dean Scholarship, Division of the Social Sciences, University of Chicago (1991–1994)

Brandeis University, Waltham, Massachusetts, USA

Sept. 1987–May 1991

Bachelor of Arts in Political Science

Bachelor of Arts in Economics

- Milton Sachs Prize for Research in Political Science, awarded for Bachelor’s Thesis (1991)
- *Summa cum laude* with Highest Honors in Political Science (1991)
- *Phi Beta Kappa*, elected membership in US nationwide honors society (1991)
- Brandeis University Undergraduate Fellow (1990–1991)
- Brandeis University Scholarship (1987–1991)

EXTERNAL GRANTS

Postdoctoral (post Ph.D) fellowships and research grants

- John D. and Catherine T. MacArthur Foundation, Individual Research and Writing Grant (2003-2005)
- Columbia University Post-Doctoral Research Fellowship, Center for Science, Policy & Outcomes (2001-2002)

During Ph.D: External one-year dissertation grants awarded in US-wide competitions

- Joseph L. Fisher Dissertation Fellowship, Resources for the Future (2000–2001)
- Teresa Heinz Scholar for Environmental Research, Heinz Family Foundation (2000–2001)
- Dissertation Fellowship, Institute for the Study of World Politics (1998–1999, renewed 1999)

During Ph.D: Visiting research fellowship

- Harvard University, Global Environmental Assessment Fellowship (1998– 2001)

PROFESSIONAL AND RESEARCH EXPERIENCE

Wageningen University, Wageningen, Netherlands

Assistant Professor, Environmental Policy Group (October 2006 – present)

Responsible for research and teaching in the areas of international environmental politics, global environmental change and environmental policy analysis, evaluation and design.

Novib (Oxfam Netherlands), Den Haag, Netherlands

Policy Advisor, Environment and Development (October 2005 – June 2006)

Responsible for developing a policy and advocacy agenda relating to global environmental issues, and for integrating such issues into Novib's development work.

Wageningen University, Wageningen, Netherlands

Visiting Fellow, Technology and Agrarian Development Group (TAO) (August 2003 – Sept 2005)

Executed an 18-month MacArthur Foundation research and writing grant project on global and national governance of biotechnology, with a focus on how global trade and environmental regimes influence domestic regulatory frameworks, with case studies of South Africa and Mexico.

Transparency International, Berlin, Germany

Program Manager and Coordinator, Forest Integrity Network (February 2002 – April 2003)

Responsible for coordinating and managing the launch of the Forest Integrity Network, a new TI-led initiative to raise awareness, share information and engage multiple stakeholders in combating corruption in use of the world's forests and hence preventing further forest loss and degradation.

Program Officer, Global Corruption Report (Sept. 15, 2002 – March 15, 2003)

Part of a 3-person editorial team tasked with conceptualizing, commissioning and editing Transparency International's flagship publication, the Global Corruption Report, the only available annual survey of the state of corruption around the globe.

Columbia University, based in Washington, District of Columbia, USA

Post-doctoral Research Scholar, Center for Science, Policy & Outcomes (Oct. 2001– Aug. 2002)

Participated in a Rockefeller Foundation supported project on the implications of knowledge and technological divides between North and South, with a case study on India

Harvard University, Kennedy School of Government, Cambridge, Massachusetts, USA

Research Fellow, Global Environmental Assessment Project (Sept. 1998 – Aug. 2000)

Participated in a multi-disciplinary program to analyze the role of scientific assessments in global governance. Focused on global rules for transnational regulation of new agricultural technologies and the relevance of global governance for national decision-making

United Nations Development Programme (UNDP), New York, New York, USA

Forest Policy Specialist, Sustainable Energy and Environment Division (Oct. 1994–July 1995)

Represented UNDP at global forest policy meetings in multiple settings and helped shape its involvement in international forest policy fora during this period

CONSULTANCIES

United Nations Environment Programme (UNEP)

Consultant, (March – July 2008)

To attend a meeting of Sub-Regional Advisors to the UNEP-GEF Capacity Building Project for Effective Participation in the Biosafety Clearing House (BCH) in Egypt, and prepare a report about the challenges facing developing countries in BCH participation

International Center for Trade and Sustainable Development (ICTSD)

Consultant, based in Amsterdam, Netherlands (November – December 2005)

To prepare a background study on 'Biotechnology and trade policy-making in Mexico and South Africa' as contribution to a "Framework for Policy-making" that ICTSD is producing as part of a project on 'Building Capacity on Trade and Biotechnology Policy-Making'

United Nations University Institute of Advanced Studies (UNU-IAS)

Consultant, based in Amsterdam, Netherlands (July 2004 – September 2005)

Participated in a UNU interdisciplinary project on global inter-regime linkages for the Sustainable Development Governance Programme. Mandated to provide a conceptual chapter on global biosafety governance in an edited volume to disseminate project findings

Arizona State University and ICONs (International Communication and Negotiation Simulations, University of Maryland Center for International Development and Conflict Management)

Consultant, based in Amsterdam, Netherlands (May 2004)

Issue expert and consultant to a global treaty negotiation simulation exercise for post-graduate science students to illustrate the science-policy interface in global governance. Together with ICONs, responsible for preparing simulation materials, including goals and scenario sketches for 20+ country negotiators and 5 regional alliances, and a coding system for assessing success in accomplishing negotiating objectives and reaching compromises

United Nations Food and Agricultural Organization (FAO)

Consultant, Phnom Penh, Cambodia and Washington DC, USA (March 11 – March 31, 2002)

Participated as a consultant for FAO in a World Bank-organized Expert Workshop in Phnom Penh, Cambodia on log-tracking technologies to improve forest sector governance. Provided input to the workshop about priority areas of action for FAO in facilitating more effective forest governance

International Institute for Sustainable Development (IISD)

Consultant and Writer, *Earth Negotiations Bulletin* (Nov. 1995–Feb. 1998, Dec. 2000)

Attended, wrote and team-edited 'on-the-spot' analyses of multilateral environmental treaty negotiations dealing with biological diversity, biosafety, plant genetic resources and food security, and held in Jakarta, Leipzig, Rome, Geneva, Montreal, Cartagena and Montpellier, as well as the Rio+5 UN General Assembly Special Session on follow-up to the Earth Summit in New York

Deutsche Gesellschaft für technische Zusammenarbeit (GTZ)

Consultant, based at Yale University, USA (March–May 1996 and Dec. 1996–Feb. 1997)

Liaison person between the Secretariat of the United Nations Intergovernmental Panel on Forests (IPF) and the Forestry Advisors Group (bilateral aid agencies chaired by GTZ) to facilitate information exchange and coordinate donor support to the IPF

PUBLICATIONS AND RESEARCH PROJECTS

Journal articles

- Gupta, Aarti. 2008. "Transparency under Scrutiny: Information disclosure in global environmental governance". *Global Environmental Politics*, Volume 8, No. 2, 1-7, May.
- Gupta, Aarti and Robert Falkner. 2006. "The influence of the Cartagena Protocol on Biosafety: Comparing Mexico, China and South Africa". *Global Environmental Politics* 6:4, pp. 23-55. November.
- Gupta, Aarti and Ute Siebert. 2004. "Combating Forest Corruption: the Forest Integrity Network" in *Journal of Sustainable Forestry*, Issue focus: Illegal Logging in the Tropics. Vol. 19, Numbers 1/2/3: 337-349.
- Gupta, Aarti. 2002. "Ensuring 'Safe Use' of Biotechnology in India: Key Challenges" in *Economic and Political Weekly*, India. July 6: 2762-2769.
- Gupta, Aarti. 2001. "Advance Informed Agreement: a shared basis to govern trade in genetically modified organisms?" *Indiana Journal of Global Legal Studies*. Vol. 9, No. 1: 265-281.
- Gupta, Aarti. 2000. "Creating a Global Biosafety Regime." *International Journal of Biotechnology* 2, Nos. 1/2/3, pp. 205-230.
- Gupta, Aarti. 2000. "Governing Trade in Genetically Modified Organisms: The Cartagena Protocol on Biosafety." *Environment* 42, no. 4 (May), pp. 23-33.

Book chapters

- Gupta, Aarti. 2008. 'Global Biosafety Governance: Emergence and evolution' in *Institutional Interplay: Biosafety and Trade*, edited by Oran R. Young, W. Bradnee Chambers, Joy A. Kim and Claudia ten Have, pp. 19-46. Yokohama: UNU Press.
- Gupta, Aarti. 2006. "Problem Framing in Assessment Processes: the case of Biosafety". In *Global Environmental Assessments: Information and Influence*, edited by Ronald B. Mitchell, William C. Clark, David Cash and Nancy M. Dickson, pp. 57-86. Cambridge: MIT Press.
- Gupta, Aarti. 2004. "Combating Forest Corruption: the Forest Integrity Network" in *Illegal Logging in the Tropics: Strategies for Cutting Crime*, edited by Ramsay M. Ravenel, Ilmi M. Granoff and Carrie M. Magee, pp. 337-349. New York: Haworth Press.
- Gupta, Aarti. 2004. "When Global is Local: Negotiating Safe Use of Biotechnology". In *Earthly Politics: Local and Global in Environmental Governance*, edited by Sheila Jasanoff and Marybeth Long-Martello, pp. 127-148. Cambridge: MIT Press.

Policy Briefs / Book reviews

- Gupta, Aarti and Robert Falkner. 2006. "The Cartagena Protocol on Biosafety and Domestic Implementation: Comparing Mexico, China and South Africa". *Chatham House Briefing Paper*, Sustainable Development Programme. Royal Institute of International Affairs. Available at: <http://www.chathamhouse.org.uk/viewdocument.php?documentid=7351>
- Falkner, Robert and Aarti Gupta. 2004. "Implementing the Biosafety Protocol: Key Challenges". *Chatham House Briefing Paper*, Sustainable Development Programme. Royal Institute of International Affairs. November. <http://www.chathamhouse.org.uk/pdf/research/sdp/RFNov04.pdf>
- Gupta, Aarti. 2001. Vandana Shiva: Stolen Harvest – The Hijacking of the Global Food Supply" (Book Review). *Progress in Development Studies*, Vol. 1. No. 1. pp. 87-88.
- Juma, Calestous and Aarti Gupta. 1999. "Safe Use of Biotechnology." In *Biotechnology for Developing-Country Agriculture: Problems and Opportunities*. 2020 Vision for Food, Agriculture, and the Environment. Washington DC, USA: International Food Policy Research Institute (IFPRI)

Other research projects, discussion papers and commissioned studies

Gupta, Aarti. 2008. *Effective Participation in the Biosafety Clearing House: Options for participation and constraints to information provision*. Report prepared for the UNEP-GEF Capacity Building Project, Geneva. March.

Gupta, Aarti. 2003. *Transparency International's Tools and Approaches to Combating Corruption: an Overview Document*. May. At: http://www.transparency.org/fin/dnld/ti_tools_gupta.pdf

Gupta, Aarti. 2003. "The Role of Knowledge Flows in Bridging North-South Technological Divides: A case analysis of biotechnology in Indian agriculture". In *Knowledge Flows and Knowledge Collectives: Understanding the Role of Science and Technology Policies in Development*. Commissioned by the Global Inclusion Program of the Rockefeller Foundation. Center for Science, Policy and Outcomes (CSPO), Columbia University, Washington DC, USA.

Gupta, Aarti. 2001. *Searching for Shared Norms: Global Governance of Biosafety*. PhD Dissertation, Yale University Graduate School of Arts and Sciences. December.

Gupta, Aarti. 2000. *Governing Biosafety in India: The Relevance of the Cartagena Protocol*. Discussion Paper 2000–24. Environment and Natural Resources Program, Kennedy School of Government, Harvard University, Cambridge, MA. <http://www.environment.harvard.edu/gea>

Gupta, Aarti. 1999. *Framing Biosafety in an International Context: The Biosafety Protocol Negotiations*. Discussion Paper E-99-10, Environment and Natural Resources, Kennedy School of Government, Harvard University, Cambridge, MA. <http://www.environment.harvard.edu/gea>

Contributing editor

Gupta, Aarti. 2004. Contributing editor. *The Global Corruption Report 2004: Thematic Focus on Political Corruption*. Transparency International, Berlin, Germany. The full report is available online at <http://www.globalcorruptionreport.org/download.htm>

Gupta, Aarti. 2000. Contributing editor. *Foreign Policy Bulletin: An Uneasy Transition to a New Century* Special Double Issue 11, No. 12, January – April.

CONFERENCE PRESENTATIONS AND INVITED SPEAKER

Gupta, Aarti, 2008. "Governance by transparency: information disclosure and global biosafety politics". *International Sociological Association annual meeting*, Barcelona, Spain September 8.

Gupta, Aarti, 2008. "Transparency in Global Environmental Governance: theory and practice". *International Studies Association Annual Meeting*, San Francisco, USA. March.

Gupta, Aarti, 2007. "A Transparency Turn in Global Environmental Governance?". *Global Governance Annual Meeting of European Researchers*, Bordeaux, France. November.

Gupta, Aarti, 2007. "Transparency in global environmental governance: an exploratory analysis" *Amsterdam Conference on Human Dimensions of Global Environmental Change: the challenge of Earth System Governance*, Amsterdam, Netherlands. May.

Gupta, Aarti, 2005. "Multi-level environmental governance: the case of transgenic crops". *The Institute for Social Studies*, Invited Speaker, ISS Seminar Series, The Hague, Netherlands. June 8.

Gupta, Aarti, 2004. "Effective Implementation of the Biosafety Protocol: Key challenges". Invited Speaker, *Expert meeting on the Cartagena Protocol on Biosafety*. Royal Institute for International Affairs (Chatham House) London. 25 November.

Gupta, Aarti, 2004. "Governing Trade in Risky Substances: the case of genetically modified organisms". *The Institute for Social Studies*, Invited Speaker, ISS Seminar Series, The Hague, Netherlands. November 11.

Gupta, Aarti, 2004. "Trade, GMOs and Biosafety: Global Influences on Policy Choices in Developing Countries". *The Second Annual Conference on Trade and Environment (CAT&E)*, hosted by the Vrije Universiteit Amsterdam. Netherlands, November 1-2.

Gupta, Aarti, 2004. "Prior Informed Consent and Global Risk Governance". Invited Speaker. *Expert workshop on "Informed Consent" in medicine and technology*. Delft Technical University, Delft, Netherlands. March 24.

Gupta, Aarti, 2004. "Governing Biotechnology in an Unequal World: the Search for Shared Norms." *International Studies Association Annual Meeting*, Montreal, Canada. 17-20 March.

Gupta, Aarti, 2003. "Regime Conflicts or Collusion: Norms of Global Risk Governance". *2003 Open Meeting of the Human Dimensions of Global Environmental Change Research, International Human Dimensions Program*, Montreal, Canada, 16-18 October.

Gupta, Aarti, 2002. "Knowledge flows and domestic biosafety governance in India". *The 2002 Berlin Conference on the Human Dimensions of Global Environmental Change: Knowledge for the Sustainability Transition*. German Political Science Association, Berlin, Germany Dec. 6-7.

Gupta, Aarti, 2002. "The Role of a 'Forest Integrity Network' in Good Forest Governance". *The 2002 Forest Leadership Forum*, co-organized by the Certified Forest Products Council and the World Wide Fund for Nature (WWF) International. Atlanta, Georgia, USA, April 25-2.

Gupta, Aarti, 2002. "Forest Integrity Network: A new initiative to combat forest corruption". *Illegal Logging in the Tropics: Ecology, Economics and Politics of Resource Misuse*, Yale University School of Forestry and Environmental Studies, New Haven, CT, USA, March 29-30.

Gupta, Aarti, 2002. "Good Governance in the Forest Sector: Priority-Areas for Action". *Workshop and Tradeshow on Building Capacity for Forest Law Enforcement and Governance: Verifying Wood Sources - Developing Effective Log-Tracking and Chain-of-Custody Systems*, organized by the World Bank, Phnom Penh, Cambodia, March 19-21.

Gupta, Aarti, 2001. "How Global Governance Matters: the Case of Biotechnology". *Berlin Conference on the Human Dimensions of Global Environmental Change: Global Environmental Change and the Nation-State*. German Pol Science Association, Berlin, Germany, Dec. 7-8.

Gupta, Aarti, 2001. "When Global is Local: negotiating safe use of biotechnology". *Workshop on Local Knowledge and its Global Consequences: New Perspectives on Environment and Development*. Harvard University, Cambridge, MA, USA, April 4.

Gupta, Aarti, 2000. "Diffusing Biosafety Practices through the Cartagena Protocol? An Assessment". *Conference on Diffusion of Environmental Policies*. German Political Science Association, Environmental Policy Working Group. Berlin, Germany, December 8-9.

Gupta, Aarti, 2000. "WTO, the TRIPs Agreement and the Concerns of the South". *Conference on Reforming the World Trade Organization". Dritte-Welt-Universität (Third World University) Magnetsried, Bavaria, Germany, November 24-26.*

Gupta, Aarti, 2000. "Precautionary Decision-Making for Biosafety in Developing Countries: a Case Analysis of India". *International Conference on Biotechnology in the Global Economy: Science and the Precautionary Principle*, Harvard University, Cambridge, MA, USA, September 22-23.

Gupta, Aarti, 2000. "The Cartagena Protocol on Biosafety: Right Track or Dead End?" Invited Speaker, *Heinrich Böll Foundation*, Berlin, Germany, June 2.

Gupta, Aarti, 2000. "Standardization versus Diversity in Biosafety Governance". *Workshop on Local Knowledge and its Global Consequences: New Perspectives on Environment and Development*. Harvard University, Cambridge, MA, USA, April 7.

Gupta, Aarti, 2000. "Balancing Trade and Environment in Global Biotechnology Regulation". Invited Speaker, *Science, Technology and Innovation Seminar Series*, Center for International Development, Harvard University, Cambridge, MA, USA, March 29, 2000.

TEACHING

“International Environmental Policy” – Environmental Policy Group (ENP), Wageningen University (annually, since 2007). *Course evaluated as one of the top 25 courses taught at Wageningen, 2007 / Lecturer evaluated as one of the top 25 lecturers at Wageningen, 2007*

“Environmental Policy: Analysis, Evaluation and Design” – Environmental Policy Group (ENP), Wageningen University (annually, since 2006)

“Technology, Social Choice, and Development” – Technology and Agrarian Development Group (TAO), Wageningen University (2004/2005) (Guest Lecturer)

“International environmental negotiation simulation” - Columbia University’s Center for Science, Policy and Outcomes (CSPO), Workshop for post-graduate students, Washington D.C. (2002)

“Doctoral Research Seminar” – Department of Forestry and Environmental Studies, Yale University (1996–1997) (co-taught)

“Environmental Politics” – Department of Forestry and Environmental Studies and Department of Political Science, Yale University (1997) (Teaching Assistant)

PROFESSIONAL AFFILIATIONS AND FUNCTIONS

Professional Affiliations

Associate Faculty (as of 2006 –), Global Governance Project (<http://www.glogov.org>)

Member, International Studies Association

Peer Reviewer

Journals and Presses

Ecological Economics; Environmental Politics; Global Environmental Politics; International Environmental Agreements; International Journal of Biotechnology; Science, Technology and Human Values; University of British Columbia Press

Proposals

National Science Foundation (United States)

Journal editorial board member

Global Environmental Politics (since 2008-)

ADDITIONAL INFORMATION

Languages

Native fluency in Hindi and English

Intermediate Dutch and German

Basic knowledge of Spanish

Travel

Multiple years of residence in India, the United States, Germany and the Netherlands

Residence (for at least 3 months each) in Egypt, Nepal, Oman, Saudi Arabia, Thailand, Venezuela and Yemen

Extensive travel to other countries of Asia, Europe and Latin America